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Jc525 U.S. PTO  
09/419517

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09/419517  
10/18/99

October 18, 1999

## **VIA EXPRESS MAIL LABEL NO. EM384754292US**

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Re: New U.S. Continuation Patent Application  
Pursuant to 37 C.F.R. §1.53(b)(1)  
Inventor: Wayne H. KAESEMEYER  
for "METHOD AND FORMULATION FOR  
TREATING VASCULAR DISEASE"  
Atty. Docket No.: 97-092-US-C2

Dear Sirs:

Enclosed are the following for filing in connection with the above new continuation patent application:

1. A new continuation patent Application comprising a copy of the previously filed application papers in Serial No. 08/833,842, having 21 pages, including 16 pages of specification, 4 pages of claims, 1 page of abstract, 2 sheets of drawings;
2. A copy of the previously submitted executed Declaration and Power of Attorney from Serial No. 08/833,842;
3. A copy of the previously submitted executed Verified Statement Claiming Small Entity Status - Independent Inventor;
4. A copy of the previously submitted executed Verified Statement Claiming Small Entity Status - Small Business Concern;
5. A Preliminary Amendment;
6. A check in the amount of \$380.00 representing the filing fee for a small entity;
7. An Express Mail Certificate; and

REED SMITH SHAW & McCLAY LLP

BOX PATENT APPLICATION

-2-

October 18, 1999

8. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Commissioner is hereby authorized to charge any additional filing fees, or charge any overpayment in connection with this filing, to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

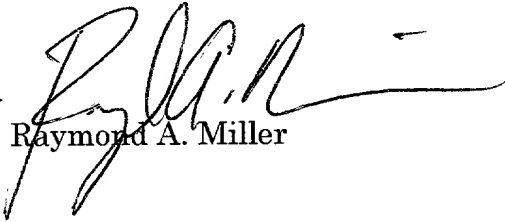
**Please send all correspondence to:**

**Raymond A. Miller, Esq.  
REED SMITH SHAW & McCLAY LLP  
P.O. BOX 488  
PITTSBURGH, PA 15230**

Very truly yours,

REED SMITH SHAW & McCLAY LLP

By

  
Raymond A. Miller

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## Certificate of Mailing by "Express Mail"

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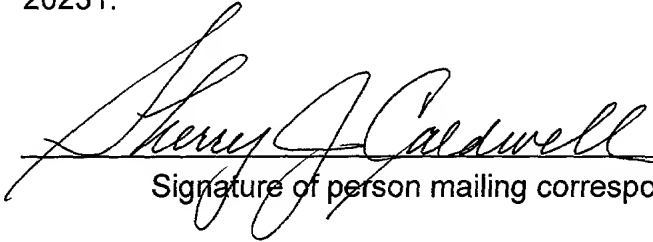
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Note: Each paper must have its own certificate of mailing by "Express Mail".

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Kaesemeyer, Wayne H.**

Serial No.: Unassigned

Filed: Herewith

Atty. Docket No.: 97-092

**METHOD AND FORMULATION FOR  
TREATING VASCULAR DISEASE**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **METHOD AND FORMULATION FOR TREATING VASCULAR DISEASE** and described in

- ☒ the specification filed herewith.
- ☐ Application Serial No. [Ser. No.], filed [Date].
- ☐ Patent No. [No.], issued [Date].

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

- ☒ no such person, concern, or organization
- ☐ persons, concerns or organizations listed below\*

**\*NOTE:** *Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)*

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

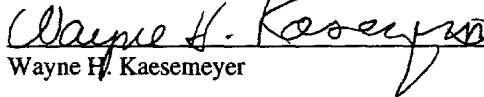
NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

  
Wayne H. Kaesemeyer

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**KAESMEYER**

Serial No.: 08/833,842

Filed: April 10, 1997

Atty. Docket No.: 97-092-US

**METHOD AND FORMULATION FOR  
TREATING VASCULAR DISEASE****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(d) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to  
act on behalf of the concern identified below:

NAME OF CONCERN: Nitrosystems, Inc.

ADDRESS OF CONCERN: 512 Telfair Street  
August, Georgia 30901

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **METHOD AND FORMULATION FOR TREATING VASCULAR DISEASE** by inventor Wayne H. Kacsmeier, described in

- ☐ the specification filed herewith.
- ☒ Application Serial No. 08/833,842, filed April 10, 1997.
- ☐ Patent No. [Pat. No.], issued [Issue Date].

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

**\*NOTE:** *Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)*

**NAME:**

**ADDRESS:**

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

**NAME:**

**ADDRESS:**

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Michael W. SKEEN

**TITLE IN ORGANIZATION:** Chief Executive Officer

ADDRESS OF PERSON SIGNING: 512 Telfair Street, Augusta, Georgia 30901

SIGNATURE [Signature] DATE September 4, 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Continuation Application of:

**KAESEMEYER**

Serial No.: (Not yet assigned)

Filed: October 18, 1999

Art Unit: (Not yet assigned)

Examiner: (Not yet assigned)

Atty. Docket No.: 97-092-US-C2

**METHOD AND FORMULATION FOR  
TREATING VASCULAR DISEASE**

Pittsburgh, Pennsylvania 15230

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Dear Sir:

Prior to calculation of the filing fee in connection with the referenced new continuation application and prior to it being taken up for examination, please enter the following Preliminary Amendment.

**In the Specification:**

Please amend the specification as follows:

Page 9, line 17, after "dalvastatin" please insert --atorvastatin, cerivastatin,--.

**In the Claims:**

Please amend claims 1 and 3 as follows:

658107-236150



1. (Amended) A method of treating a disease condition in a subject [by vasodilation or vasorelaxation] comprising:

selecting a subject; and

administering a mixture of L-arginine and an inhibitor of Hmg-CoA reductase[;

obtaining periodic indicators of vasorelaxations for the subject;

and

continuing administration of the mixture until a desirable state of vasorelaxation is obtained].

3. (Amended) The method of claim 1, wherein said disease condition is hypertension, hypertensive heart disease, coronary heart disease, cardiovascular disease, cerebrovascular disease, and renovascular disease.

**Please cancel claims 7-11 without prejudice to presentation in this or a later filed case.**

**Please amend claim 12 as follows:**

12. (Amended) A therapeutic mixture of [an agonist of NOS and] a substrate of NOS and an inhibitor of Hmg-CoA reductase.

**Please cancel claims 14-15.**

**Please amend claims 16-18 as follows:**

16. (Amended) A method of stimulating Nitric Oxide Synthase[to produce nitric oxide], said method comprising:

administering L-arginine and an Hmg-CoA reductase inhibitor [agonist of nitric oxide synthase to a subject having a nitric oxide synthase receptor site, said agonist being different than L-arginine and being selected from the group consisting of:

lovastatin;

pravastatin;

simvastatin;

fluvastatin;

dalvastatin;

compactin;

HR-780;

BMY 22,089;

BMY 22,566;

SQ 33,600;

GR 95,030; or

CI 981;

stimulating said nitric oxide synthase to a desirable level with said agonist of nitric oxide synthase].

17. (Amended) The method of claim 16, wherein said L-arginine is

in excess to said [agonist] Hmg-CoA reductase inhibitor.

18. (Amended) The method of claim 16, wherein a therapeutically effective [amounts] amount of said L-arginine is combined with a therapeutically effective [amounts] amount of said [agonist] Hmg-CoA reductase inhibitor prior to [administering to the patient] said administration.

**Please add the following new claims:**

19. (New) The method of claim 1, further including the step of obtaining periodic indicators of vasorelaxations for the subject; and continuing administration of the mixture until a desirable state of vasorelaxation is obtained.

20. (New) The method of claim 1 wherein said inhibitor of Hmg-CoA reductase is atorvastatin.

21. (New) The method of claim 1 wherein said inhibitor of Hmg-CoA reductase is cerivastatin.

22. (New) The therapeutic mixture of claim 12, wherein said inhibitor of Hmg-CoA reductase is an agonist of NOS.

23. (New) The therapeutic mixture of claim 12, wherein said inhibitor of Hmg-CoA reductase is atorvastatin.

24. (New) The therapeutic mixture of claim 13, wherein said inhibitor of Hmg-CoA reductase is atorvastatin and said biological equivalent of L-arginine is L-arginine.

25. (New) The therapeutic mixture of claim 12, wherein said inhibitor of Hmg-CoA reductase is cerivastatin.

26. (New) The therapeutic mixture of claim 13, wherein said

REMARKS

Respectfully submitted,

Raymond A. Miller  
Reg. No. 42,891

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Attorney for Applicant

## METHOD AND FORMULATION FOR TREATING VASCULAR DISEASE

### BACKGROUND OF THE INVENTION

5 This invention relates generally to a method of treating cardio-  
cerebrorenovascular disease as well as avoiding potential cardiocerebrorenovascular  
disease, and the symptoms thereof, wherein a substrate of Nitric Oxide Synthase  
("NOS") and an agonist of NOS are combined to produce a beneficial effect.

### DESCRIPTION OF RELATED ART

10 Much focus in the area of cardiac disease has been on the presence of  
cholesterol in the body. Hypercholesterolemia is known to be a primary risk factor  
for death from coronary heart disease. It is known that 50% or more of the total body  
cholesterol in humans is derived from intrinsic biosynthesis. It is also known that a  
rate-limiting step of major significance in the biosynthesis of cholesterol is at the level  
15 of the enzyme known as 3-hydroxy-3-methylglutaryl-coenzyme A reductase or Hmg-  
CoA reductase. A general class of compounds is known in the art which inhibit and  
reduce the intrinsic biosynthesis of cholesterol in order to reduce the risk factor of  
hypercholesterolemia and coronary artery death. This general class of compounds is  
known as inhibitors of Hmg-CoA reductase.

20 An alternative approach to treating cardiac disease is to effect the  
dilation of vascular conduits in the body. In this regard, nitric oxide has been shown  
to be formed enzymatically as a normal metabolite from arginine in vascular  
endothelium and provides an important component to the formation of endothelium-  
derived relaxing factor (EDRF). EDRF appears to be equivalent to Endothelium  
Derived Nitric Oxide (EDNO) and as used herein EDRF and EDNO are  
25 interchangeable unless otherwise indicated. Macrophages and neurons have also been  
shown to produce nitric oxide in the body as a component of their cell killing and/or  
cytosolic function.

Recently it has been established that a family of enzymes called Nitric Oxide Synthase ("NOS") form nitric oxide from L-arginine, and the nitric oxide produced is responsible for the endothelium dependent relaxation and activation of soluble guanylate cyclase, neurotransmission in the central and peripheral nervous systems, and activated macrophage cytotoxicity.

Nitric Oxide Synthase, occurs in many distinct isoforms which include a constitutive form (cNOS) and an inducible form (iNOS). The constitutive form is present in normal endothelial cells, neurons and some other tissues. Formation of nitric oxide by the constitutive form in endothelial cells is thought to play an important role in normal blood pressure regulation, prevention of endothelial dysfunction such as hyperlipodemia, arteriosclerosis, thrombosis, and restenosis. The inducible form of nitric oxide synthase has been found to be present in activated macrophages and is induced in vascular smooth muscle cells, for example, by various cytokines and/or microbial products.

The conversion of precursor substrates of EDNO such as L-arginine into nitric oxide is enzymatically catalyzed by NOS and the resulting by-product of the conversion of L-arginine is L-citrulline. Although it was initially described in endothelium, NOS activity has now been described in many cell types. Brain, endothelium, and macrophage isoforms appear to be products of a variety of genes that have approximately 50% amino acid identity. NOS in brain and in endothelium have very similar properties, the major differences being that brain NOS is cytosolic and the endothelial enzyme is mainly a membrane-associated protein.

Functionally, the constitutive form of Nitric Oxide Synthase ("cNOS"), which is the predominant synthase present in brain and endothelium, may be active under basal conditions and can be further stimulated by increases in intracellular calcium that occur in response to receptor-mediated agonists or calcium ionophores. cNOS appears to be the "physiological" form of the enzyme and plays a role in a diverse group of biologic processes. In vitro studies suggest that the activity of nitric oxide synthase can be regulated in a negative feedback manner by nitric oxide itself. In cardiocerebrorenovascular circulation, the primary target for constitutively produced nitric oxide is believed to be soluble guanylate cyclase located

in vascular smooth muscle, the myocardium (myocytes) and coronary vascular smooth muscle.

In contrast to the cNOS, the inducible, calcium-independent form, iNOS was initially only described in macrophages. It is now known that induction of nitric oxide synthase can occur in response to appropriate stimuli in many other cell types. This includes both cells that normally do not express a constitutive form of nitric oxide synthase, such as vascular smooth muscle cells, as well as cells such as those of the myocardium that express considerable levels of the constitutive isoform.

iNOS exhibits negligible activity under basal conditions, but in response to factors such as lipopolysaccharide and certain cytokines, expression occurs over a period of hours. The induced form of the enzyme produces much greater amounts of NO than the constitutive form, and induced NOS appears to be the "pathophysiological" form of the enzyme because high concentrations of NO produced by iNOS can be toxic to cells. Induction of iNOS can be inhibited by glucocorticoids and some cytokines. Relatively little is known about postranscriptional regulation of iNOS. Cytotoxic effects of NO are probably largely independent of guanylate cyclase and cyclic GMP formation. Most of the research in the area has focused on inhibitors of iNOS stimulation using various derivatives of L-arginine.

Research into the area of cNOS activation reveals a number of agonist of cNOS some of which have been described in U.S. Pat. 5,543,430, which is hereby incorporated by reference in its entirety. However, until now there was no known research indicating Hmg-CoA reductase inhibitors were capable of functioning as agonist of cNOS.

## SUMMARY OF THE INVENTION

The term "subject" as used herein to mean any mammal, including humans, where nitric oxide formation from arginine occurs. The methods herein for use on subjects contemplate prophylactic use as well as curative use in therapy of an existing condition.

The term "native NO" as used herein refers to nitric oxide that is produced through the bio-transformation of L-arginine or the L-arginine dependent pathway. "EDRF" or "EDNO" may be used interchangeably with "native NO". The term endpoints as used herein refers to clinical events encountered in the course of treating cardiovascular disease, up to and including death (mortality)

L-arginine as used herein includes all biochemical equivalents (i.e. salts, precursors, and its basic form). L-arginine as defined herein appears to function as a substrate of cNOS.

"To mix", "mixing", or "mixture(s)" as used herein means mixing a substrate (i.e. L-arginine) and an agonist (i.e. Hmg-CoA reductase inhibitor): 1) prior to administration ("in vitro mixing"); 2) mixing by simultaneous and/or consecutive, but separate (i.e. separate intravenous lines) administration of substrate (L-arginine and agonist to cause "in vivo mixing"; and 3) the administration of a NOS agonist after saturation with a NOS substrate (i.e. L-arginine is administered to build up a supply in the body prior to administering the NOS agonist (nitroglycerin or Hmg-CoA reductase)); or any combination of the above which results in the combination of therapeutic amounts of a NOS agonist and a NOS substrate in an additive or synergistic way with regard to the treatment of vascular disease.

Agonist refers to an agent which stimulates the bio-transformation of a substrate such as L-arginine to EDNO or EDRF either through enzymatic activation or increasing gene expression (i.e. increased protein levels of c-NOS). Of course, either or both of these mechanisms may be acting simultaneously.



It is an object of this invention to provide a method of preventing, treating, arresting, or ameliorating disease conditions which are benefited by the bio-transformation of a substrate into endogenous nitric oxide or "native" nitric oxide.

5 It is another object of this invention to provide a method of preventing, treating, arresting, or ameliorating disease conditions which are benefited by the bio-transformation of L-arginine into "native" nitric oxide through enzyme activation of NOS.

It is another object of this invention to ameliorate or avoid tachycardia and prevent or treat ischemia.

10 It is another object of this invention to achieve a beneficial effect when treating disease conditions by increasing or maximizing the production of "native" nitric oxide, and reducing clinical endpoints to include mortality.

It is another object of this invention to prevent reperfusion injury in subjects who have had abrupt restoration of blood flow.

15 It is a further object of this invention to provide a mixture of inhibitors of Hmg-CoA reductase and biological equivalents of L-arginine for the treatment of hypertension, hypertensive heart disease, coronary heart disease, including arteriosclerosis, angina, myocardial infarction, coronary thrombosis, restenosis post angioplasty, and sudden death, as well as a wide range of cardiovascular disease  
20 (heart failure, stroke, and peripheral vascular diseases), and renovascular ischemia/hypertension.

These and other objects of this invention are provided by one or more of the embodiments provided below.

25 In one embodiment of the invention, therapeutically effective amounts of a precursor of EDNO and an agonist of NOS are combined prior to administration to a patient. In another embodiment of the invention, therapeutically effective

amounts of a precursor of EDNO and an agonist of NOS are combined prior to administered separately and mixed "in vivo".

In another embodiment of the invention, therapeutically effective amounts of L-arginine and inhibitors of Hmg-CoA reductase are mixed at a physiologically acceptable pH and administered to a patient.

In another embodiment of the invention a method for treating hypertension in a subject by vasodilation or vasorelaxation comprises: selecting a hypertensive subject; administering L-arginine and Hmg-CoA reductase inhibitors to the subject; obtaining periodic blood pressure measurements of the subject; and continuing administration of L-arginine and Hmg-CoA reductase inhibitors until a desirable blood pressure or therapeutic effect is detected in the subject. A desirable blood pressure in a hypertensive subject should ultimately be within the following ranges: systolic preferably in the range of 95-180 mmHg, more preferably in the range of 105-165 mmHg, and even more preferably in the range of 120 to 140 mmHg; and diastolic preferably in the range of 55-115 mmHg, more preferably in the range of 65-100 mmHg, and even more preferably in the range of 70 to 90 mmHg, and most preferably 75-85 mmHg. Under no circumstances should the systolic be permitted to go below 95 mmHg.

Another embodiment of the present invention is a method for preventing or treating cardiovascular disease in a non-hypertensive subject by vasodilation or vasorelaxation comprising: selecting a subject; administering to said subject a formulation comprising a mixture of an inhibitor of Hmg-CoA reductase and an endothelium dependent source of nitric oxide (i.e., L-arginine); obtaining periodic measurements of vasorelaxation on the subject and; continuing administration of the formulation until a desirable state of vasorelaxation or desirable therapeutic effect is detected on the subject. A desirable state-of vasorelaxation is for example a lowering of the systolic by about 20 mmHg and a lowering of the diastolic by about 10 mmHg. Under no circumstances should the systolic be lowered less than 95 mmHg.

Yet another embodiment is a method for stimulating cNOS in a subject which comprises: selecting a subject; administering to said subject a formulation

comprising a mixture of L-arginine and inhibitors of Hmg-CoA reductase, so as to maximize "native" NO production and reduce endpoints to include mortality.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic representation of the proposed NOS activation pathway.

Fig. 2 is a bar graph illustrating the stimulation of NOS with pravastatin.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

From the data presented herein it appears that inhibitors of Hmg-CoA reductase may have dual applicability in the treatment of hypertension and cardiovascular diseases such that they act as both an inhibitor of the intrinsic biosynthesis of cholesterol and a stimulator or agonist of nitric oxide synthase. The fact that Hmg-CoA reductase may be agonist or stimulant of nitric oxide synthase has remarkable implications. Mixing inhibitors of Hmg-CoA reductase "in vitro" or "in vivo" with L-arginine has been found to have an unforeseen beneficial effect that is most likely due to excess L-arginine providing additional substrate for the nitric oxide synthase and the NOS being catalyzed to enzymatically increase the bio-transformation of L-arginine into nitric oxide.

Stimulation of NOS in the presence of excess L-arginine or other substrate precursor of native NO (EDRF or EDNO) may be used to prevent, treat, arrest, or ameliorate any disease or condition which is positively affected by NO production. Such conditions include hypertensive cardiocerebrorenovascular diseases

and their symptoms as well as non-hypertensive cardiocerebrorenovascular diseases. The mixture is particularly useful for subjects in need of native NO production. Application of such a mixture is beneficial for: (1) Chronic stable angina; (2) Unstable angina; (3) Acute myocardial infarction; (4) Hibernating myocardium; (5) Stunned myocardium; (6) Limitation of ventricular remodeling in post myocardial infarction and subsequent risk of congestive heart failure; (7) Prophylaxis of recurrent myocardial infarction; (8) Prevention of sudden death following myocardial infarction; (9) Vasospastic angina; (10) Congestive heart failure-systolic-seen in association with 1-6 above; (11) Congestive heart failure-diastolic-seen in association with 1-10 above and 12-15 below; (12) Microvascular angina seen in association with 1-11 above and 15 and 16 below; (13) Silent ischemia seen in association with 1-12 above and 15 and 16 below; (14) Reduction of ventricular ectopic activity seen in association with 1-13 above and 15 below; (15) Any or all of the above 1-14 states of ischemic myocardium associated with hypertensive heart disease and impaired coronary vasodilator reserve; (16) control of blood pressure in the treatment of hypertensive crisis, perioperative hypertension, uncomplicated essential hypertension and secondary hypertension; (17) Regression of left ventricular hypertrophy seen in association with 15 and 16 above; (18) Prevention and or regression of epicardial coronary arteriosclerosis seen in 1-17 above; (19) Prevention of restenosis post angioplasty; (20) Prevention and/or amelioration of free radical mediated reperfusion injury in association with 1-19 above; (21) Use of the combination in the prevention of myocardial injury during cardioplegic arrest during coronary bypass or other open heart surgery i.e. use of the combination as a cardioplegic solution; (22) Post transplant cardiomyopathy; (23) Renovascular ischemia; (24) Cerebrovascular ischemia (TIA) and stroke); and (25) Pulmonary hypertension.

Vascular smooth muscle cells are located mainly in veins, arteries, and coronary arteries. The following discussion focuses on smooth muscle and myocyte relaxation stimulated by vasodilators. As discussed above the nitric oxide synthase in the cells is normally cNOS, the constitutive form of nitric oxide synthase, and the generator cells are endothelial cells and the target cells are vascular smooth muscle cells. Fig. 1 is a schematic illustration and is not intended to imply any cellular relationship or geography of the various sites of action, but rather meant to illustrate their functional relationship.

5 The principle combination to be employed will be a mixture that involves therapeutic concentrations of L-arginine and a Hmg-CoA reductase inhibitor in water. Any pharmaceutical grade L-arginine will be sufficient and should be diluted preferably to 2.5-60% w/v (g/ml), more preferably to 5-45% w/v (g/ml), even more preferably between 7.5-30% w/v (g/ml), even more preferably to 10-15% w/v (g/ml), and most preferably 10% w/v (g/ml) L-arginine. The typical doses anticipated will be 30 grams of L-arginine in sterile water (Total Volume 300 cc). L-arginine is anticipated eventually to be approximately 10:1 to about 25:1 of the hydrochloride salt to L-arginine as a base, and even more preferably 15:1 to about 20:1 hydrochloride salt to base, and most preferably 15:1 hydrochloride salt to base. In this example 28 to 29 grams will be the hydrochloride salt and 1 to 2 grams of L-arginine will be base.

15 L-arginine may be used in conjunction with virtually any of the family of those substances known as Hmg-CoA reductase inhibitors. Those particular Hmg-CoA reductase inhibitors most preferred for use in conjunction with the present formulation as selected from the group consisting of: simvastatin, lovastatin, pravastatin, compactin, fluvastatin, dalvastatin, HR-780, GR-95030, CI-981, BMY 22089, and BMY 22566. U.S. Patent No. 5,316,765 cites a number of these Hmg-CoA reductase inhibitors and is hereby incorporated by reference in its entirety. In particularly preferred embodiments of the present invention, the Hmg-CoA reductase inhibitor utilized is pravastatin or lovastatin. In an even more particularly preferred embodiments, the administration of the present invention includes the Hmg-CoA reductase inhibitor pravastatin.

25 As part of a "mixture", the Hmg-CoA reductase inhibitor is included together with L-arginine and clinically effective weight ratios of between 1:2 to 1:150. Even more particularly, the ratio of the Hmg-CoA reductase L-arginine in the formulation is between 1:5 to 1:100. The most preferred embodiment of the "mixture" the ratio of Hmg-CoA reductase inhibitor, most particularly pravastatin, to L-arginine is 1:50. The range of ratios of an Hmg-CoA reductase inhibitor to L-arginine may be employed with virtually any Hmg-CoA reductase inhibitor.

Where the particular Hmg-CoA reductase inhibitor is pravastatin, the ratio of pravastatin to L-arginine is preferably within the range 1:2 to 1:50, Wt/Wt. For example, pravastatin/L-arginine at a ratio of 1:2 would include 40 mg/day pravastatin with 80 mg/day L-arginine. Where the ratio of pravastatin/ L-arginine is at a ratio of 1:20, for example, 20 mg/day pravastatin would be administered with 400 mg/day L-arginine. Weight ratio of ingredients described herein in regard to the Hmg-CoA reductase inhibitors, lovastatin and pravastatin are applicable for any Hmg-CoA reductase inhibitor. The amounts above have been found to be effective, however, each route of administration (i.e. IV, oral, transdermal, etc.) will vary in their requirements.

Even more particularly, the presently disclosed "mixtures" may be described in terms of their relative concentrations (grams) administered as part of a continuous daily and/or monthly regimen. In one particular embodiment, the formulation is administered so as to provide the patient with between 20-40 milligrams per day of the Hmg-CoA reductase inhibitor (i.e., pravastatin) together with a daily dose of L-arginine of between 100 to 200 mg per day. Most preferably, the Hmg-CoA reductase inhibitor, such as lovastatin, is administered at a daily dose of about 20 mg per day together with a dose of about 200 mg per day L-arginine. This particular embodiment of the claimed formulation should maintain within the patient efficient levels of the formulation.

By way of example only, Table 1 presents a listing of several inhibitors of Hmg-CoA reductase. These substances vary in their potency and their abilities to inhibit Hmg-CoA.

---

TABLE 1

Simvastatin  
Lovastatin  
Pravastatin  
Compactin (a.k.a., mevastatin)

Fluvastatin  
Dalvastatin  
GR-95030  
HR-780  
SQ 33,600  
BMY 22089  
BMY 22566  
CI 981

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The Hmg-CoA reductase inhibitors of the present invention are also characterized by an ability to stimulate receptor-mediated clearance of hepatic low-density lipoproteins (LDL), as an anti-hypercholesterolemic, and as a competitive inhibitor of Hmg-CoA reductase.

The Hmg-CoA reductase inhibitor employed may be lovastatin, simvastatin, pravastatin, XU-62-320 (Sodium 3.5-dihydroxy-7 [3-(4-fluorophenyl)-1(methylethyl)-1H-Indole-2yl]-hept-6-enoate), mevastatin (a.k.a., compactin), BNY 22089, CI-981, SQ 33,600, BMY 22089, CI 981, HR 780, SQ 33,600 or any other member of the class of compounds that inhibit Hmg-CoA reductase. The preparation of lovastatin, simvastatin, and pravastatin have been described in the patent literature. The preparation of XU-62-320 (fluvastatin) is described in WIPO Patent W084/02131. BMY 22089(13), CI 981(14), HR 780(15), and SQ 33,600(16) are also described in the literature cited, and are specifically incorporated herein by reference for the purpose of even more fully describing the chemical structure and synthesis of these Hmg-CoA reductase inhibitors. These methods of preparation are hereby incorporated by reference in their entirety.

Also within the scope of those Hmg-CoA reductase inhibitors of the present invention are included the bio-active metabolites of those compounds listed in Table 1, such as pravastatin sodium (the bio-active metabolite of mevastatin).

Any one or several of those Hmg-CoA reductase inhibitor compounds listed in Table 1 pravastatin may be mixed with L-arginine or substrate precursor to endogenous nitric oxide to provide a therapeutically effective treatment for a patient.

Until now there was no link between the bio-transformation of L-arginine into "native" nitric oxide and anti-hypocholesterolemic Hmg-CoA reductase inhibitors. However, it is now believed that Hmg-CoA reductase inhibitors has a stimulating effect on cNOS. The mechanism is not well understood but it appears the mixture of inhibitors of Hmg-CoA reductase and L-arginine may have a heretofore unexpected synergistic effect on cNOS stimulation. The stimulation of cNOS may be a result of cNOS having a unique receptor site for Hmg reductase inhibitors or inhibitors of Hmg-CoA reductase initiating a cascade of events which stimulate NO. Administering the two also provides adequate substrate for cNOS processing of L-arginine since the L-arginine is added in excess while at the same time stimulation the enzymatic activity of NOS. Whether it is a synergistic effect or additive effect, what is clear is that "mixing" a precursor substrate of "native" nitric oxide with a Hmg-CoA reductase inhibitor results in a heretofore unexpected increase in NO production. This unexpected affect is demonstrated in the example below.

#### Example

The direct effects of acteylcholine and pravastatin on NO production in bovine aortic endothelial cells (BAEC) was determined using a highly sensitive photometric assay for conversion of oxyhemoglobin to methemoglobin. NO oxidize; oxyhemoglobin (HbO<sub>2</sub>) to methemoglobin (metHb) in the following reaction  $\text{HbO}_2 + \text{NO} \rightarrow \text{metHb} + \text{NO}_3$ . The amount of NO produced by endothelial cells was quantified by measuring the change in absorbance as HbO<sub>2</sub> oxidizes to metHb. Oxyhemoglobin has a absorbance peak at 415 nm, while metHb has a 406 nm absorbance peak. By subtracting the absorbance of metHb from HbO<sub>2</sub>, the concentration of NO can be assessed. The general method was patterned after that of *Feelisch et al.*, (Biochem. and Biophys. Res. Comm. 1991; 180, Nc I:286-293).

For this assay, endothelial cells were isolated from bovine aortas. BAECs were grown to confluency in 150 mm plates (Corning) using Medium 199



supplemented with penicillin G (100 mL<sup>-1</sup>), streptomycin (100 mg mL<sup>-1</sup>), glutamine (100 mg mL<sup>-1</sup>), thymidine (100 mg mL<sup>-1</sup>), and 10% fetal calf serum (Gibco). Upon confluency, cells will be washed twice with a 1% phosphate buffered saline/EDTA solution. Trypsin/EDTA was added and the cells were kept at 37°C until the cells become rounded thus signaling detachment from the plate. An equal amount of trypsin inhibitor was added to inhibit any further trypsin activity that might damage the cells. The cells were pelleted by spinning at 150-200 g for 5 min. Cells were resuspended in culture medium and approximately 10<sup>7</sup> of these cells were used to inoculate 0.5 g of micro-carrier beads (Cytodex #3). Cells, beads and medium was transferred to a spinner flask (Wheaton) where the culture sat undisturbed at 37°C with 95% O<sub>2</sub> and 5% CO<sub>2</sub> for 29 min then spun (20 rpm) in this same environment for 1 min. This sitting cycle allowed for cell adherence to the beads while the spinning created an even distribution of cells and beads. After 4 hrs of this attachment phase, the spinner flask was left on the stirrer at slow speed for 2-3 days for uniform cellular coating of beads.

Beads/cells were rinsed twice and then suspended in a Hepes-buffered Krebs-Ringer solution containing all necessary co-factors. To prevent a reaction between NO and superoxide (O<sub>2</sub>), superoxide dismutase (200 U/ml) was added to the buffer. Catalase (100 U/ml) will be added to decompose hydrogen peroxidase, keeping the hemoglobin active. Two ml of EC/beads were placed into a water-jacketed chromatography column (Pharmacia) and superfused at 2 ml/min with Hepes-buffered Krebs-Ringers solution containing 3 uM oxyhernoglobin. The perfusate was then directed into a flow-through cuvette in a dual wavelength spectrophotometer and absorbance was measured to determine the basal and stimulated NO release. A parallel column circuit was filled with only beads (no cells) to determine basal and spontaneous release of NO in this system without cells. Vehicle (buffer w/o agent) did not cause a change in absorbance when infused into the cell-bead column.

Experimental stimulation were carried out by 3 min infusion periods of acetylcholine (ACH) or pravastatin (PRA) added to buffer perfusion using a micro syringe pump at a rate of 45 ul/min to yield a final concentration of 10<sup>-6</sup> and 10<sup>-5</sup>M for ACH and 10<sup>-6</sup> and 10<sup>-5</sup>M for PRA in the buffer. The effects of buffer containing L-

NAME ( $10^{-3}\text{M}$ ) in blocking the actions of these drug agents and then a buffer without L-NAME but with excess L-arginine ( $10^{-3}\text{M}$ ) in reversing any L-NAME effect was examined. Each drug agent concentration was given twice for each of the three buffer systems; a period of 10 min was allowed between infusion of agents. Our data demonstrate that this cell perfusion and monitoring system remains stable for at least 4-6 hours. At the end of each experiment, cell viability was checked using trypan blue exclusion.

For analysis, we determined the area under the curve for the change in absorbance response/unit time (min) caused by each agent above baseline levels and calculated methHb production using an extinction coefficient of  $39\text{ mM}^{-1}$ . During the 3 min infusion of agents, absorbance increases rapidly. Changes in absorbance to these agents usually persist from 2-8 mins depending on the size of the response before returning to baseline levels. We assume a one to one correspondence for NO and methHb production, the known stoichiometric balance for this reaction. We also determined changes in basal NO production during perfusion with each of the buffer systems. Basal NO values were subtracted from any drug-induced responses to determine NO production which results from the drug's actions. Table 2 recites the results of these experiments.

Table 2

	<u>Basic Buffer</u>	<u><math>10^{-3}\text{M}</math> L-NAME</u>	<u><math>10^{-3}\text{M}</math> L-arginine</u>
	(absolute production of NO in nmole* min)		
$10^{-6}\text{M}$ Ach	197.60	72.20	330.60
$10^{-3}\text{M}$ Ach	619.40	288.80	756.20
$10^{-6}\text{M}$ Prav.	163.40	45.60	201.40
$10^{-3}\text{M}$ Prav.	513.00	209.00	752.40

Fig. 2 is a bar graph of the data generated which illustrates the effects of acetylcholine and pravastatin ( $10^{-6}$  and  $10^{-5}$  M) administered for 3 min periods into the cell/bead perfusion system on NO production with: 1)  $10^{-5}$  M L-arginine in control (basic) buffer, 2)  $10^{-3}$  M of L-NAME in buffer, and 3)  $10^{-3}$  M of L-arginine in buffer. Responses are transient elevations in NO production above basal levels. Data for responses in L-NAME and L-arginine augmented buffer are presented as percent of response in control buffer (100%); numbers in basic buffer bars indicate absolute production of NO in nmole \*min. The remaining two bars denote differences between responses in L-NAME buffer vs both basic and L-arginine added buffers.

The effects of pravastatin on activity of endothelial cells in producing NO were compared with those of acetylcholine, which is known to specifically stimulate NO production by NOS activity. Adding acetylcholine to the buffer superfusion bovine aortic endothelial cells (BAECs) grown on beads increased their production of NO as measured by oxidation of oxyhemoglobin to methemoglobin (Fig. 2) Acetylcholine produced a transient, concentration-related increase in NO above baseline levels. In basic buffer containing  $5 \times 10^{-5}$  M L-arginine, and there was approximately a two fold increase in NO production between  $10^{-5}$  M L-arginine, there was approximately a two fold increase in NO production between  $10^{-5}$  and  $10^{-6}$  M acetylcholine. Subsequent treatment of these cells with buffer containing L-NAME,  $10^{-3}$  M markedly reduced acetylcholine-induced production of NO by 80%. When this L-NAME buffer was replaced with another containing increased L-arginine ( $10^{-3}$  M), acetylcholine-elicited production of NO returned to control levels.

Pravastatin also caused a concentration-related increase in NO production above baseline levels. There was a larger increment in response to the  $10^{-5}$  M concentrations of pravastatin (~3 X) compared with that of acetylcholine. Superfusion of the cell suspension with L-NAME ( $10^{-3}$  M), also blunted NO production in response to pravastatin. This suggests that NO production is due at least in part to NOS activity. Subsequent perfusion of the cells with a buffer containing L-arginine  $10^{-3}$  M resulted in a return in NO production to a level above the amount induced by the Pravastatin in control (basis) buffer. This restoration of response to Pravastatin after L-arginine addition was greater than that observed for

acetylcholine. Administration of Pravastatin or acetylcholine into a perfusion system containing only beads without cells did not induce metHb/NO production.

As can be seen from Table 2 and Fig. 2, pravastatin appears to stimulate cNOS in much the same way as other NOS agonist described in U.S. Pat. No. 5,543,430 independent of its inhibitory effect on cholesterol biosynthesis.

Although the preferred methods have been described in detail, it should be understood that various changes, substitutions, and alterations can be made in the present invention as defined by the claims appended hereto. For example, other cNOS agonist may be identified. An example of a contemplated formulation is a mixture of estrogen and L-arginine since preliminary data indicates that estrogen may be functioning as a NOS agonist. The present invention is defined by the claims attached hereto.

What Is Claimed Is:

1. A method of treating a disease condition in a subject by vasodilation or vasorelaxation comprising:

selecting a subject;

5 administering a mixture of L-arginine and an inhibitor of Hmg-CoA reductase;

obtaining periodic indicators of vasorelaxations for the subject; and

continuing administration of the mixture until a desirable state of vasorelaxtion is obtained.

10 2. The method of claim 1, wherein the formulation is administered intravenously, buccal, intracoronary, intramuscularly, topically, intranasally, rectally, sublingually, orally, subcutaneously, by patch, or inhalation.

15 3. The method of claim 1, wherein said disease is hypertension, hypertensive heart disease, coronary heart disease, cardiovascular disease, cerebrovascular disease, and renovascular disease.

4. The method of claim 3, wherein said coronary heart disease is restenosis post angioplasty.

5. The method of claim 1, wherein L-arginine and said inhibitor of Hmg-CoA reductase are mixed in vivo.

20 6. The method of claim 5, wherein L-arginine and said inhibitor of Hmg-CoA reductase are administered at a therapeutic concentration.

7. The method of claim 6, wherein the therapeutic concentration of L-arginine is from 7.5% to about 30% w/v (g/ml).

8. The method of claim 6, wherein the therapeutic concentration of L-arginine is from 10% to about 15% w/v (g/ml).

9. The method of claim 6, wherein the therapeutic concentration of L-arginine is 10% w/v (g/ml).

5 10. The method of claim 6, wherein the pH is maintained within the range of 6 to 8.0.

11. The method of claim 6, wherein the pH is maintained within the range of 7 to 7.4.

10 12. A therapeutic mixture of an agonist of NOS and a substrate of NOS.

13. The therapeutic mixture of claim 12, which said substrate of NOS is a biological equivalent of L-arginine.

14. The therapeutic mixture of claim 13, wherein said agonist of NOS is an inhibitor of Hmg-CoA reductase.

15 15. The therapeutic mixture of claim 14, wherein the agonist is selected from the group consisting of:

lovastatin;

pravastatin;

simvastatin;

20 fluvastatin;

dalvastatin;

compactin;

HR-780;

BMY 22,089;

BMY 22,566;

SQ 33,600;

GR 95,030; or

CI 981.

16. A method of stimulating nitric oxide synthase to produce nitric oxide, said method comprising:

administering L-arginine and an agonist of nitric oxide synthase to a subject have a nitric oxide synthase receptor site, said agonist being different than L-arginine and being selected from the group consisting of:

lovastatin;

pravastatin;

simvastatin;

fluvastatin;

dalvastatin;

compactin;

HR-780;

BMY 22,089;

BMY 22,566;

SQ 33,600;

GR 95,030; or

CI 981.

stimulating said nitric oxide synthase to a desirable level with said agonist of nitric oxide synthase.

5                   17. The method of claim 16, wherein said L-arginine is in excess to said agonist.

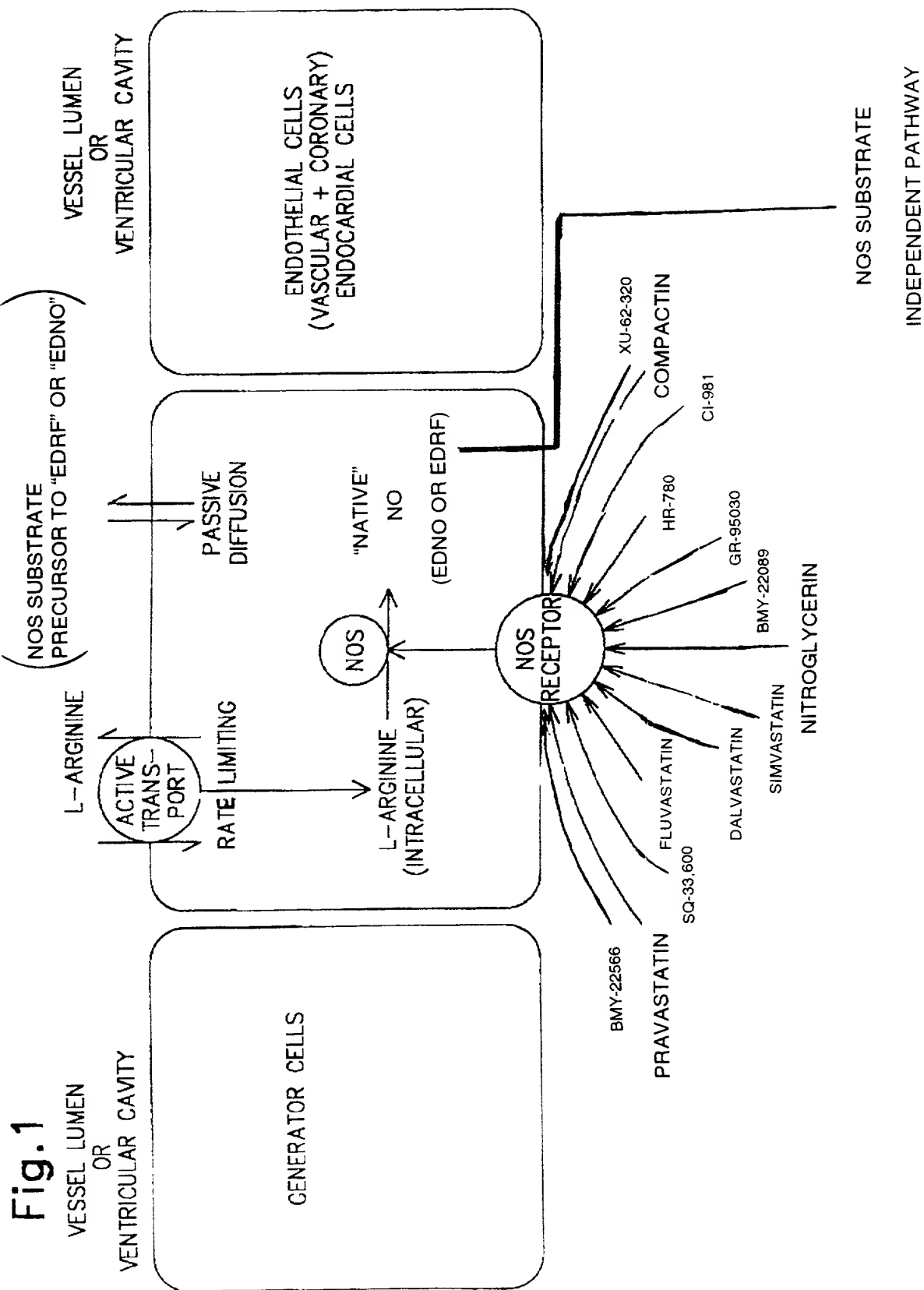
18. The method of claim 16, wherein therapeutically effective amounts of L-arginine is combined with therapeutically effective amounts of said agonist prior to administering to the patient.



## ABSTRACT

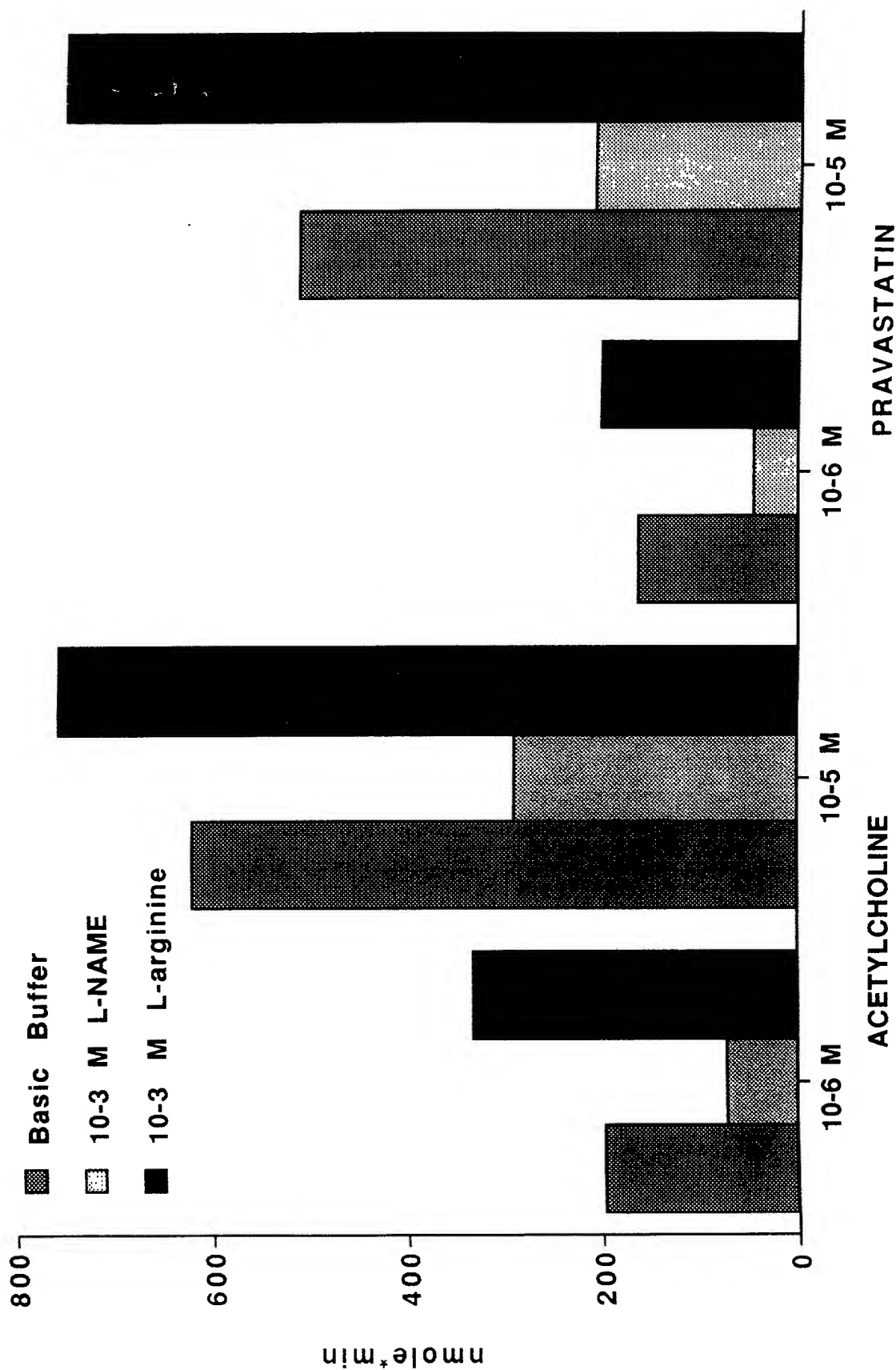
A therapeutic mixture comprised of L-arginine and inhibitors of Hmg-CoA-Reductase is disclosed for the treatment of diseases related to endothelial dysfunction, wherein the endothelial dysfunction is relieved by stimulating the constitutive form of nitric oxide synthase (cNOS) to produce native nitric oxide (NO).

5



# Pravastatin

FIG. 2



**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND FORMULATION FOR TREATING VASCULAR DISEASE**, the specification of which

**Regular Application**

☒ is attached hereto.

☐ was filed on [Date Application was Filed] as Application Serial No. [Serial No.] and was amended on [Date of Amendment] (if applicable).

**PCT Application Entering National Phase**

☐ was filed on [Date] as PCT International Application No. [App. No.] and was amended on [Date of Amendment] (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)****Priority Claimed**

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: W. Scott Railton (Reg. No. 23,039); Arland T. Stein (Reg. No. 25,062); Louis M. Heidelberger (Reg. No. 27,899); Frederick H. Colen (Reg. No. 28,061); Mary E. Buckles (Reg. No. 31,907); John F. Letchford (Reg. No. 33,328); Gene A. Tabachnick (Reg. No. 33,801); Gregory L. Bradley (Reg. No. 34,299); John W. Goldschmidt (Reg. No. 34,828); Maria N. Rullo (Reg. No. 37,433); Cheryl L. Gastineau (Reg. No. 39,469); Daniel H. Golub (Reg. No. 33,701); Francis M. Linguiti (Reg. No. 32,424) Robert A. Matthews, Jr. (Reg. No. 38,237); Jolene W. Appleman (Reg. No. 35,428); and Ian K. Samways (Reg. No. 36,664).

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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